

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Allen Gorin et al.
Docket No.: 1999-0679A - CON
Application No.: Continuation of 09/690,903
Filing Date: Nov. 14, 2003
Examiner Name: Daniel Abebe
Group Art Unit: 2655
Title: SYSTEM AND METHOD FOR GENERATING MORPHEMES

INFORMATION DISCLOSURE STATEMENT

MAIL STOP: Patent Application
THE COMMISSIONER FOR PATENTS
PATENT & TRADEMARK OFFICE
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA Application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Most of the references were cited by or submitted to the Office in parent Application No. 09/690,903, filed October 18, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, only copies of references not previously submitted are included. 37 C.F.R. § 1.98(d).

Respectfully,

Date: Nov. 14, 2003

By: Thomas M. Isaacson

AT&T CORP.
P.O. Box 4110
Middletown, New Jersey 07748-4110

Thomas M. Isaacson
Attorney for Applicants
Reg. No.: 44166
T: 410-414-3056
F: 410-510-1433

PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	Continuation of 09/690,903
				Filing Date	Nov. 14, 2003
				First Named Inventor	Allen Gorin et al.
				Group Art Unit	2655
				Examiner Name	Daniel Abebe
Sheet	1	of	3	Attorney Docket Number	1999-0679A - Con

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,777,600		Saito et al.	10-11-98	
	2	4,866,778		Baker	09-12-89	
	3	4,903,305		Gillick et al.	02-20-90	
	4	5,029,214		Hollander	07-02-91	
	5	5,033,088		Shipman	07-16-91	
	6	5,099,425		Kanno:Yuji et al.	03-24-92	
	7	5,212,730		Wheatley et al.	05-18-1993	
	8	5,384,892		Strong	06-24-95	
	9	5,390,272		Repta et al.	02-14-95	
	10	5,434,906		Robinson et al.	07-18-95	
	11	5,457,768		Tsuboi et al.	10-10-95	
	12	5,666,400		McAllister et al.	09-09-97	
	13	5,675,707		Gorin et al.	10-1997	
	14	5,719,921		Vysotsky et al.	02-17-98	
	15	5,794,193		Gorin	08-1998	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	3
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Complete if Known

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U.S. PATENT DOCUMENTS

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		Group Art Unit	2655	
Examiner Name	Daniel Abebe			
Sheet	3	3	Attorney Docket Number	1999-0679A-Con

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	A.L. GORIN et al. "HOW MAY I HELP YOU?", AT&T Labs Research, pg 1-2 and 4, as it was to appear in the Proc. Of IVITA, Philadelphia, October 1996	<input type="checkbox"/>
	2	Patent Application for 08/943,944, METHOD AND APPARATUS FOR GENERATING SEMANTICALLY CONSISTENT INPUTS TO A DIALOG MANAGER, FILED October 3, 1997, by Alicia ABELLA, et al.	<input type="checkbox"/>
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